

Simulating a CP-violating topological term in gauge theories

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Abstract. We present recent results on the θ -dependence of four-dimensional $SU(N)$ gauge theories, where θ is the coefficient of the CP-violating topological term in the Lagrangian. In particular, we study the scaling behavior of these theories, by Monte Carlo simulations at imaginary θ . The numerical results provide good evidence of scaling in the continuum limit. The imaginary θ dependence of the ground-state energy turns out to be well described by the first few terms of related expansions around $\theta = 0$, providing accurate estimates of the first few coefficients, up to $O(\theta^6)$.

Four-dimensional $SU(N)$ gauge theories have a nontrivial dependence on the parameter θ which appears in the Euclidean Lagrangian as

$$\mathcal{L}_\theta = (1/4)F_{\mu\nu}^a(x)F_{\mu\nu}^a(x) - i\theta q(x), \quad q(x) = g^2/(64\pi^2)\epsilon_{\mu\nu\rho\sigma}F_{\mu\nu}^a(x)F_{\rho\sigma}^a(x), \quad (1)$$

where $q(x)$ is the topological charge density. The ground-state energy density $F(\theta)$ behaves as

$$\mathcal{F}(\theta) \equiv F(\theta) - F(0) = (1/2)\chi\theta^2 s(\theta), \quad (2)$$

where χ is the topological susceptibility at $\theta = 0$,

$$\chi \equiv \int d^4x \langle q(x)q(0) \rangle_{\theta=0} = \langle Q^2 \rangle_{\theta=0}/V, \quad Q \equiv \int d^4x q(x), \quad (3)$$

V is the spacetime volume and $s(\theta)$ is a dimensionless even function of θ such that $s(0) = 1$. Assuming analyticity at $\theta = 0$, $s(\theta)$ can be expanded as: $s(\theta) = 1 + b_2\theta^2 + b_4\theta^4 + \dots$, where only even powers of θ appear. Large- N scaling arguments applied to the Lagrangian (1) indicate that the relevant scaling variable in the large- N limit is $\bar{\theta} \equiv \theta/N$. This implies that in this limit $\chi = O(1)$, while the coefficients b_{2i} are suppressed by powers of N , i.e. $b_{2i} = O(N^{-2i})$.

Due to the nonperturbative nature of the θ dependence, quantitative assessments have largely focused on the lattice formulation of the theory, using Monte Carlo (MC) simulations. However, the complex nature of the θ term in the Euclidean Lagrangian prohibits a direct MC simulation at $\theta \neq 0$. Information on the θ dependence of physically relevant quantities, such as the ground state energy and the spectrum, has been obtained by computing the coefficients of the corresponding expansions around $\theta = 0$. The coefficients of $s(\theta)$ can be determined from appropriate zero-momentum correlation functions of $q(x)$ at $\theta = 0$, which are related to the moments of the $\theta = 0$ probability distribution $P(Q)$ of the topological charge Q . Indeed

$$b_2 = -\frac{1}{12\chi V} [\langle Q^4 \rangle - 3\langle Q^2 \rangle^2]_{\theta=0}, \quad b_4 = -\frac{1}{360\chi V} [\langle Q^6 \rangle - 15\langle Q^2 \rangle\langle Q^4 \rangle + 30\langle Q^2 \rangle^3]_{\theta=0}, \quad (4)$$

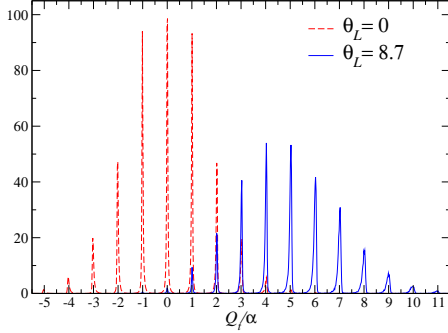


Figure 1. Distribution of the ratio Q_t/α , for $\beta = 6.2$ configurations at $\theta_L = 0$ and $\theta_L = 8.7$ ($\theta_i \approx 1.5$).

etc. They parameterize the deviations of $P(Q)$ from a simple Gaussian behavior. It has been shown that correlations involving multiple insertions of the topological charge can be defined in a nonambiguous, regularization independent way, and therefore b_{2i} are well defined renormalization group invariant quantities. The numerical evidence for a nontrivial θ -dependence, obtained through MC simulations, appears quite robust. We refer the reader to Ref. [1] for a recent review. On the other hand, MC simulations at $\theta = 0$ have only made it possible to estimate the ground-state energy up to $O(\theta^4)$. The large- N prediction $b_2 = O(N^{-2})$ has been already supported by numerical results; the calculation of the higher-order terms would provide a further check of the power-law suppression predicted by large- N arguments.

In this paper we consider imaginary values of θ , which make the Euclidean Lagrangian (1) real, thus making MC simulations possible. For further details and references, the reader may consult our Ref. [2]. Assuming analyticity at $\theta = 0$, the results provide quantitative information on the expansion around $\theta = 0$. Indeed, fits of the data to polynomials of imaginary θ may provide more accurate estimates of the coefficients, overcoming the rapid increase of statistical errors observed at $\theta = 0$. Perturbative renormalization-group (RG) arguments indicate that θ is a RG invariant parameter of the theory, thus the continuum limit should be approached while keeping θ fixed to any complex value. We find that this is indeed supported by the numerical data for the 4D SU(3) lattice gauge theory, at least for $|\theta| < \pi$, which are well described by the first few nontrivial terms of the expansion around $\theta = 0$.

Introducing the real parameter θ_i , defined by $\theta \equiv -i\theta_i$, Eq. (2) leads to:

$$\frac{\langle Q \rangle_{\theta_i}}{V} = -\frac{\partial \mathcal{F}(-i\theta_i)}{\partial \theta_i} = \chi \theta_i \left(1 - 2b_2\theta_i^2 + 3b_4\theta_i^4 + \dots \right), \quad (5)$$

$$\frac{\langle Q^2 \rangle_{\theta_i}^c}{V} \equiv \frac{\langle Q^2 \rangle_{\theta_i} - \langle Q \rangle_{\theta_i}^2}{V} = -\frac{\partial^2 \mathcal{F}(-i\theta_i)}{\partial \theta_i^2} = \chi \left(1 - 6b_2\theta_i^2 + 15b_4\theta_i^4 + \dots \right). \quad (6)$$

The nonperturbative formulation of the above theory on the lattice requires a discretization of the action, $S_L - \theta_L Q_L$; for S_L we use the plaquette gluon action, while for Q_L we employ the “twisted double plaquette” operator q_L ($Q_L = \sum_x q_L(x)$). Notice that this is not the only possible choice for q_L ; the only requirement is that it have the correct continuum limit when $a \rightarrow 0$ (a : lattice spacing). In the continuum limit $q_L(x)$, being a local operator, behaves as

$$q_L(x) \longrightarrow a^4 Z_q q(x) + O(a^6), \quad (7)$$

where Z_q is a finite function of the bare coupling g_0 , going to one in the limit $\beta \equiv 2N/g_0^2 \rightarrow \infty$. Thus, we have the correspondence: $\theta_i = Z_q \theta_L$, apart from $O(a^2)$ corrections. The renormalization Z_q may be evaluated by MC simulation at $\theta = 0$, computing

$$Z_q = \langle QQ_L \rangle_{\theta=0} / \langle Q^2 \rangle_{\theta=0}, \quad (8)$$

where Q is an estimator such as those obtained by the overlap method or the cooling method, which are not affected by renormalizations, nor by nonphysical contact terms. Thus, the ratios

$$\langle Q \rangle_{\theta_i} / \langle Q^2 \rangle_{\theta=0} = \theta_i - 2b_2\theta_i^3 + 3b_4\theta_i^5 + \dots, \quad \langle Q^2 \rangle_{\theta_i}^c / \langle Q^2 \rangle_{\theta=0} = 1 - 6b_2\theta_i^2 + 15b_4\theta_i^4 + \dots, \quad (9)$$

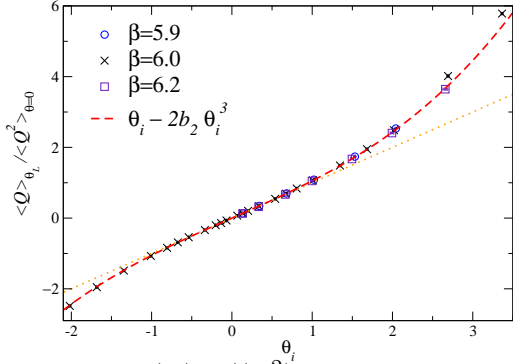


Figure 2. $\langle Q \rangle_{\theta_L} / \langle Q^2 \rangle_{\theta=0}$ vs $\theta_i = Z_q \theta_L$.

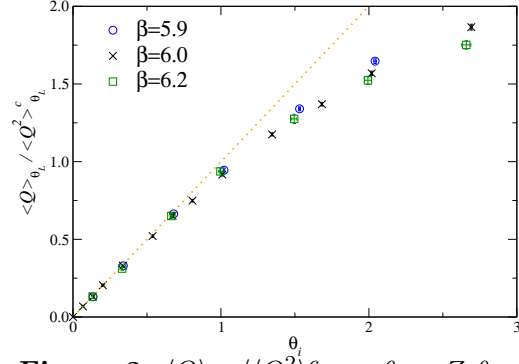


Figure 3. $\langle Q \rangle_{\theta_L} / \langle Q^2 \rangle_{\theta_L}^c$ vs $\theta_i = Z_q \theta_L$.

are expected to have a well defined continuum limit as functions of θ_i .

We have carried out MC simulations of the 4D $SU(3)$ lattice gauge theory, at $\beta = 5.9, 6, 6.2$, for lattice sizes $L = 16, 16, 20$, respectively; the simulations are carried out both at $\theta_L = 0$ and $\theta_L \neq 0$, within the region $|\theta_i| \lesssim \pi$. Since our numerical study requires high-statistics MC simulations, we choose the cooling method as estimator of the topological charge Q . The topological charge has been measured on cooled configurations (by locally minimizing the lattice action), using the twisted double plaquette operator. As is well known, this procedure leads to values $Q_t \simeq k\alpha$, where k is an integer and $\alpha \lesssim 1$. Once we determine α , we assign to Q the integer closest to Q_t/α . This cooling method for estimating Q , though less rigorous than the significantly more expensive overlap method, produces results in good agreement with it.

Fig. 1 shows the distributions of the ratio Q_t/α of $\beta = 6.2$ cooled configurations at $\theta_L = 0$ and $\theta_L = 8.7$ ($\theta_i = Z_q \theta_L \approx 1.5$). We note that these distributions cluster around integer values, also for rather large values of Q_t/α , both for $\theta_L = 0$ and $\theta_L = 8.7$.

MC simulations at $\theta = 0$ were performed at $\beta = 5.9, 6.0, 6.2$. Over 40 million sweeps per value of β were produced. The results for χ , b_2 , b_4 and Z_q are reported in Ref. [2]. Providing our improved estimate of high-order coefficients, such as b_4 , turned out to be very hard in $\theta = 0$ MC simulations, requiring huge statistics. The results for b_4 are consistent with zero, suggesting the bound $|b_4| \lesssim 0.005$, which is improved in $\theta \neq 0$ runs. Our estimates of Z_q (e.g. $Z_q(\beta = 6.0) = 0.135(1)$) reduce the uncertainty on Z_q as produced by other methods.

MC simulations at $\theta_L \neq 0$ are slower by approximately a factor of three, due to the complexity of the action. In $\theta_L \neq 0$ runs, ~ 3 million sweeps were produced for each value of β and θ_L . Figs. 2 and 3 show results for the ratios $\langle Q \rangle_{\theta_L} / \langle Q^2 \rangle_{\theta=0}$ and $\langle Q \rangle_{\theta_L} / \langle Q^2 \rangle_{\theta_L}^c$, versus $\theta_i = Z_q \theta_L$ (cf. Eq. (9)). The MC data at different β values follow the same curve, providing evidence of scaling. Scaling corrections, expected to be $O(a^2)$, are quite small, and tend to increase with increasing θ_i . This good scaling behavior corroborates the existence of a nontrivial continuum limit for any value of θ_i . Fitting our data to Eqs. (5,6,9) improves significantly the $\theta = 0$ results. In particular, a much smaller bound on b_4 is obtained: $|b_4| < 0.001$; also, we find: $b_2 = -0.026(3)$, which is clearly more precise than the estimate obtained from $\theta = 0$ runs only: $b_2 = -0.029(7)$.

Besides allowing more precise determinations of the θ expansion coefficients of the ground-state energy and other observables, using imaginary θ values might turn out useful in overcoming the dramatic critical slowing down of topological modes, by performing parallel tempering simulations with a set of θ values including $\theta=0$; this is an *exact* MC algorithm for the model.

References

- [1] E. Vicari and H. Panagopoulos, *θ dependence of $SU(N)$ gauge theories in the presence of a topological term*, Phys. Rep. 470 (2009) 93 [[arXiv:0803.1593 hep-th](#)].
- [2] H. Panagopoulos and E. Vicari, *The 4D $SU(3)$ gauge theory with an imaginary θ term*, JHEP 11 (2011) 119 [[arXiv:1109.6815](#)].

